



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **OKUDA, TAKESHI et al**

Serial No.: **09/901,572**

Group Art Unit: **Not Yet Assigned**

Filed: **July 11, 2001**

**FOR: MODIFIED DNA MOLECULE, RECOMBINANT CONTAINING THE  
MOLECULE, AND USE THEREOF**

**SUBMISSION OF FORMAL DRAWINGS**

Commissioner for Patents  
Washington, D. C. 20231

November 8, 2001

Sir:

Submitted herewith are five Sheets of formal drawings for the above-identified application.

In the event any fees are required in connection with this paper, please charge our Deposit

Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, WESTERMAN, HATTORI,  
McLELAND & NAUGHTON, LLP

*William G. Kratz, Jr.*  
*Reg. No. 34,129 for*

William G. Kratz, Jr.  
Attorney for Applicants  
Reg. No. 22,631

Atty. Docket No. 010898  
1725 K Street, N.W., Suite 1000  
Washington, DC 20006  
Tel: (202) 659-2930  
Fax: (202) 887-0357  
WGK/srb  
Enclosure: Nine Sheets of Drwgs.



UNITED STATES PATENT AND TRADEMARK OFFICE

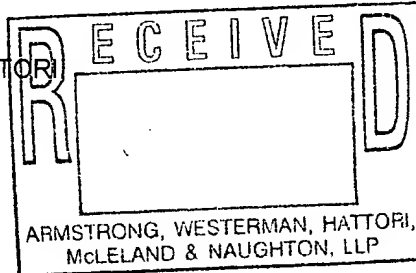
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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# 3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/901,572	07/11/2001	Takeshi Okuda	010898

23850

ARMSTRONG, WESTERMAN, HATTORI  
MCLELAND & NAUGHTON, LLP  
1725 K STREET, NW, SUITE 1000  
WASHINGTON, DC 20006



CONFIRMATION NO. 4818

FORMALITIES LETTER



\*OC000000006538566\*

Date Mailed: 09/10/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27). 140*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 970. 180**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

11/09/2001 MBERHE 00000013 09901572

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:139	130.00 OP
04 FC:103	90.00 OP
05 FC:104	280.00 OP

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05/22/2002

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01 FC:102

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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*Sector/JP*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re ~~the~~ Application of: **OKUDA, TAKESHI et al**

**ATTN: BOX MISSING PARTS**

Serial No.: **09/901,572**

Group Art Unit: **Not Yet Assigned**

Filed: **July 11, 2001**

P.T.O. Confirmation No.: 4818

**FOR: MODIFIED DNA MOLECULE, RECOMBINANT CONTAINING THE MOLECULE, AND USE THEREOF**

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

**Commissioner for Patents  
Washington, D.C. 20231**

November 8, 2001

Dear Sir:

In response to the Notice to File Missing Parts of Application dated **September 10, 2001**, enclosed for filing is a verified English translation, a English-language verification statement, and an executed Declaration and Power of Attorney for the above-identified patent application.

Also enclosed is our check in the amount of **\$1,370.00**, for the government filing fee of **\$740.00**, **\$90.00** for the extra total claim fee, **\$280.00** for the multiple dependent claim fee, the **\$130.00** late declaration fee, and the **\$130.00** fee for the English translation of the application. In the event that any additional fees are due with this paper, please charge Deposit Account No. 01-2340. This paper is filed in triplicate.

Respectfully Submitted,  
**ARMSTRONG, WESTERMAN, HATTORI,  
McLELAND and NAUGHTON, LLP**

*William G. Kratz, Jr.*  
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**23850**

PATENT TRADEMARK OFFICE

Enclosures: Declaration; Verified English Translation; Submission of Formal Drawings w/ (5) Sheets



09901572.110801

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Takashi Okuda, Shuji Saito, Kristi M. Moore and  
Yoshinari Tsuzaki

Group:

Serial No.:

Examiner:

Filed: July 11, 2001

For: MODIFIED DNA MOLECULE, RECOMBINANT CONTAINING THE SAME,  
AND USES THEREOF

VERIFIED TRANSLATION CERTIFICATE

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

The undersigned, of the below address, hereby verifies that I  
well know both the English and Japanese languages, and that  
the attached is a full, true literal and faithful translation into the  
English language of the 68 page Japanese language  
application which I am now advised carries U.S. Serial No. \_\_\_\_\_  
effectively deposited in the United States Patent and Trademark Office on  
July 11, 2001.

The undersigned declares further that all statements made herein  
on personal knowledge are true and that all statements made on information  
and belief are believed to be true; and further that these statements were  
made with the knowledge that willful false statements and the like so made  
are punishable by fine or imprisonment, or both, under Section 1001 of  
Title 18 of the United States Code and that such willful false statements  
may jeopardize the validity of the application or any patent issuing thereon.

Signed this 6th day of September, 2001.

Translator's Signature: Tsumoru Fukumoto  
Name: Tsumoru Fukumoto  
Address: c/o A. AOKI, ISHIDA & ASSOCIATES,  
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Tokyo 105-8423, Japan